

(Rev. 162, Issued: 10-14-16, Effective: 10-14-16, Implementation: 10-14-16)

§483.430(d)(3) The facility must have evidence that all alleged violations are thoroughly investigated; and

Guidance §483.430(d)(3)

In the absence of any pre-survey information that would indicate the need for a more thorough review, the surveyors review 5 percent of the total client incidents and associated investigative reports of all alleged violations of abuse, neglect or mistreatment, as well as injuries of unknown source for the last three (3) months (but no less than 10).

Investigative reports are completed as indicated for all allegations.

A thorough investigation includes at a minimum:

- The collection of all interviews, statements, physical evidence and any pertinent maps, pictures or diagrams;
- Review of all information related to the allegation;
- Resolution of any discrepancies;
- Summary of conclusions; and
- Recommendations for action both to safeguard all the clients during the investigation and after the completion of the report.

If patterns of possible abuse, neglect, mistreatment or injuries of unknown source are identified during the review, or the facility incident report logs for the past three (3) months indicate an

extremely high incident rate, then a full review of all alleged violations of abuse, neglect or mistreatment, as well as injuries of unknown source for the past three (3) months should be completed.