

70.1 - Establishing Low-Income Subsidy Status
(Rev. 13, Issued: 07-29-11, Effective Date: 01-01-11; Implementation
Date: 01-01-11)

In order to establish the correct premium, cost sharing and deductible levels with the correct effective dates for current, prior, and prospective enrollees, Part D sponsors should refer to the Weekly/Monthly Transaction Reply Report (TRR). Part D sponsors will receive data indicating new or modified LIS eligibility status for former, current, and prospective members of their Part D plan via the weekly TRR. Full replacement LIS profiles are represented by an ensemble composed of one or more of TRCs 121, 194, and 223. Each profile returns LIS period start and end dates, premium subsidy percentage, co-payment level, enrollee type flag, and LIS source code. The enrollee type flag identifies a beneficiary as being a prior, current, or prospective enrollee. The LIS source code identifies whether the LIS period is the result of CMS deeming or SSA approval.

In addition, twice each year, CMS issues special files related to Part D sponsors' LIS members. These are the September and December versions of the Loss of Subsidy file. The September file informs sponsors who in their plan is getting CMS' "undeemed" letter, and is to be used for outreach purposes. However, the December file is the definitive file of those losing LIS status, and is to be used to update sponsors' systems and to identify to whom they should send the LIS termination notice. CMS will send guidance notifying Part D Sponsors of the specific dates of these special files and reminding them of their purpose. For more information on these files' purpose, see section 40.2.6; for additional details and technical specifications, see the appendices of the Plan Communications User Guide (PCUG)

https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/mapdhelpdesk/Plan_Communications_User_Guide.html for the Loss of Subsidy Data File (*F30*).